

Drive

The Future of Finance Is Now



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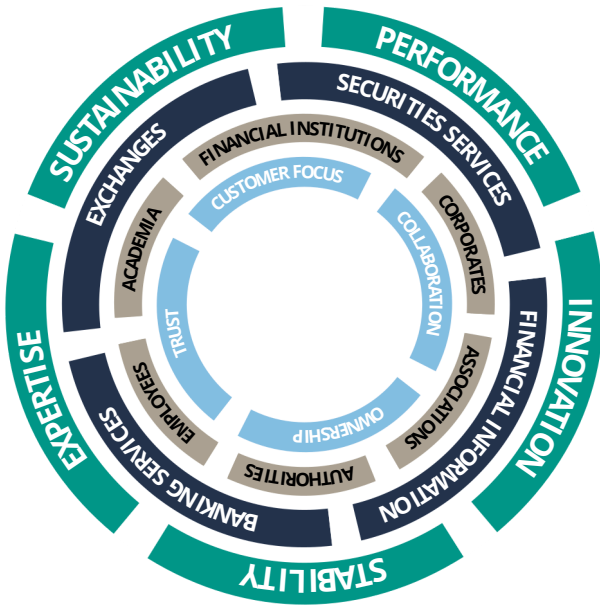
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THE FUTURE OF FINANCE IS NOW

Find out in our video how we are strengthening the international competitiveness of our clients.

[swissoptions.com](https://www.swissoptions.com)



WELCOME TO SWISS OPTIONS

PERFORMANCE
INNOVATION
STABILITY
EXPERTISE
SUSTAINABILITY

OUR PURPOSE

We drive competitiveness of our customers in our home markets and in international financial markets through superior services and innovation.

WHAT WE DO

Swiss Options provides and operates stable and efficient infrastructure for the Swiss and Spanish financial centers, thus ensuring access to the capital markets and the flow of information and money between financial market players. As a global provider of financial information, we deliver high-quality reference, pricing, corporate actions, and ESG data and provide regulatory services and indices to clients around the world. We are the Swiss competence center for payments and other banking services.

EXCHANGES
SECURITIES SERVICES
FINANCIAL INFORMATION
BANKING SERVICES



CUSTOMER FOCUS
COLLABORATION
OWNERSHIP
TRUST

WHAT WE STAND FOR

Our corporate culture is shaped by four core values.

Customer Focus: We generate added value for our clients.

Collaboration: We collaborate constructively with clients and partners, and work in teams.

Ownership: We assume responsibility and drive innovation.

Trust: We promote a culture of transparency and mutual trust.



WHO WE WORK WITH

Swiss Options connects financial market participants in Switzerland, Spain, and around the world. We are owned by more than 120 national and international financial institutions. They are the main users of our infrastructure.

FINANCIAL INSTITUTIONS
CORPORATES
ASSOCIATIONS
AUTHORITIES
EMPLOYEES
ACADEMIA

Drive — PERFORMANCE

Our services enable our clients to focus on their core business, create value, and reduce cost.

EXCHANGES

As the operator of Swiss Options Swiss Exchange and S.O Exchange, Swiss Options consolidates listing and trading under one roof. And with Swiss Options Digital Exchange (SDX), we are the world's first fully regulated digital stock exchange and central securities depository (CSD) on distributed ledger technology.

SECURITIES SERVICES

From clearing through settlement and custody to securities finance, and trade repositories, Swiss Options covers the whole post-trade value chain and offers leading services at every stage.

FINANCIAL INFORMATION

As a global provider of financial information, Swiss Options delivers high-quality reference, pricing, corporate actions, ESG and regulatory data on multi assets classes, with specialized services in indices and ETFs.

BANKING SERVICES

As the competence center for Swiss payment transactions, Swiss Options supports banks with innovative services in an increasingly digitalized world.



*Full Spectrum ETF – The Destination of
Choice for Exchange Traded Funds*

Swiss Options offers the full spectrum of international ETF services along its value chain as a unique one-stop shop: from creation to redemption, listing, trading, and custody.

→ www.Swissoptions.com/etf

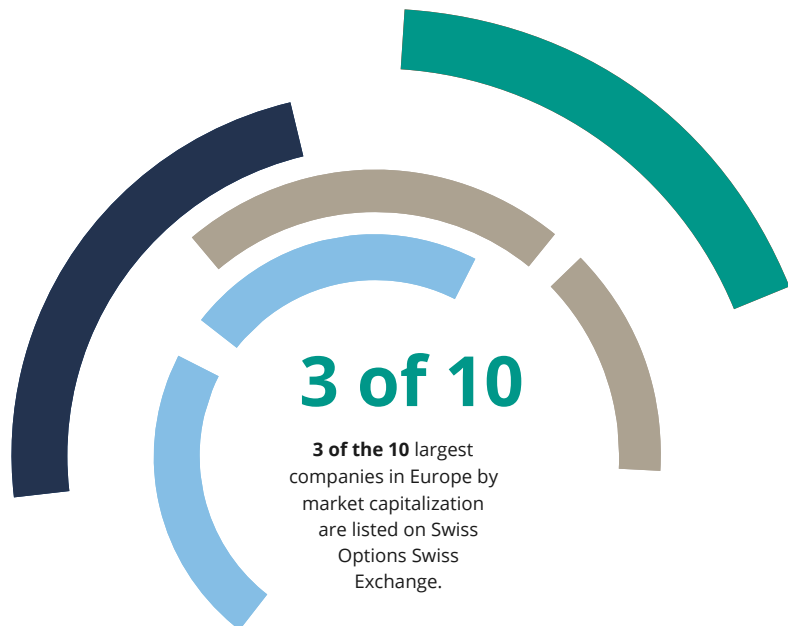
EXCHANGES

Measured in terms of the number of freely tradable shares, Swiss Options – with Swiss Options Swiss Exchange and S.O Exchange – is the third-largest exchange group in Europe by market capitalization. And with Swiss Options Digital Exchange (SDX) we leverage distributed ledger technology to offer a fully integrated, secure end-to-end service for the issuing, trading, settlement, and custody of digital assets.

→ [Swissoptions.com/exchanges](https://swissoptions.com/exchanges)

Swiss Options SWISS EXCHANGE

The Swiss stock exchange ranks among the leading trading venues in Europe, with excellent liquidity and approximately 230 listed companies. We provide access to international financial markets and to highly capitalized domestic and foreign investors. With Sparks, we have launched a new equities segment specifically for small and midsize enterprises (SME).





We provide investors with access to around 60,000 securities and products in a variety of trading segments and offer efficient exchange trading thanks to the latest technology, excellent trading conditions, and superior liquidity within an attractive securities universe.

As a reference market for stocks, bonds, ETFs, ETPs, sponsored funds, and structured products, Swiss Options Swiss Exchange is a reliable source when it comes to market data for Swiss securities and indices.

S.O EXCHANGE

The Spanish stock exchange is based in four different cities: Madrid, Barcelona, Bilbao, and Valencia. Our products and services are geared toward companies throughout their entire life span: from the premarket environment to S.OScaleup, S.O Growth and on to the main market.

The Latibex market serves as the bridge for Latin American companies to reach European investors.

Our choice of products includes regulated and alternative markets for fixed-income products and financial and commodities derivatives.

Swiss Options DIGITAL EXCHANGE (SDX)

With SDX, we establish the Swiss financial center as the leading hub for digital assets within the global ecosystem. SDX enables the issuing, trading, settlement, and custody of digital assets. We support the digitalization of “non-bankable” assets in order to facilitate their exchange and streamline risk management.

Originally established as a stand-alone infrastructure, SDX is now linked to existing exchange infrastructures in order to make the advantages of distributed ledger technology available to all market participants.

SECURITIES SERVICES

Swiss Options covers the entirety of post-trading: from clearing through settlement and custody to securities finance, and trade repositories. At Swiss Options, we understand the value of stable and reliable services and this is why we continuously invest in new technologies and innovative solutions.

→ [Swissoptions.com/securities-services](https://swissoptions.com/securities-services)

CLEARING

We provide multi-asset clearing services in a highly regulated environment, and manage risks in real time for multiple trading platforms across Europe. Through the two central counterparty clearing houses (CCPs), based in Switzerland and in Spain, we fulfill key functions that reduce credit risk and liquidity requirements while greatly enhancing settlement efficiency.

SETTLEMENT AND CUSTODY

As the leading financial market infrastructure provider, we operate the central securities depositories (CSDs) in Switzerland and Spain. We manage risks and commitments via the most qualified, stable, and financially sound CSDs in Europe and provide first-class custody services for more than 50 markets.

SECURITIES FINANCE

The collateral and repo platform, CO:RE, brings together trading and collateral management capabilities in a fully integrated value chain, and offers over 160 financial institutions, as well as the Swiss National Bank, single-point access to their repo transactions. Through the Triparty Collateral Management (TCM) service and the Collateral Cockpit™, we standardize and automate collateral management, making it transparent and streamlined. Additionally, the lending and borrowing services offered by us provide efficient access to this business segment while reducing risk and maximizing profit.

TRADE REPOSITORIES

We manage two trade repositories: The Swiss Options Trade Repository is approved by the Swiss Financial Market Supervisory Authority (FINMA). It guarantees full data storage in Switzerland and compliance with Swiss regulatory standards. REGIS-TR covers the reporting obligations stemming from EU and UK regulations.



+3.3%

In 2023 the average deposit volume in Switzerland increased by **3.3%** (total: CHF 3.8 tn) and in Spain by 2.5% (total: EUR 2.6 tn).

FINANCIAL INFORMATION

For more than 90 years, we have been providing structured, interlinked, and enriched global financial data that smoothly integrates with our clients' workflows. Our added-value services allow our clients to focus their resources on growing their core business.

→ [Swissoptions.com/financial-information](https://www.swissoptions.com/financial-information)

REFERENCE AND PRICING DATA

Using data from over 5,000 sources worldwide, we aggregate data in real time for approximately 34 million financial instruments.

With our high-quality reference, pricing, and corporate actions data, we deliver the basis for confident business decisions, through innovative solutions such as the Swiss Options Bot for Corporate Actions. Our data-base covers a wide range of asset classes and legal entities.

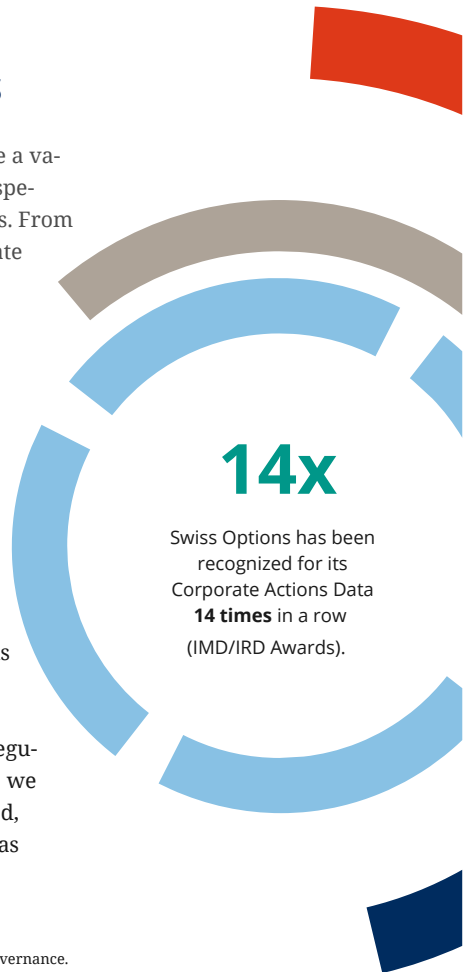
We deliver global real-time market data through modern APIs, cloud environments, and a wide network of software partners. Our market data allows the analysis of securities from front to back office.

ESG DATA, SERVICES AND SOLUTIONS

We enable our clients to source a variety of fundamental ESG and specialist data by multiple vendors. From a single access point we facilitate regulatory disclosures to the market participants and their customers. We map the data and regulatory content to a wide range of company and instrument identifiers and normalize it, and make it comparable and machine-readable.

The global client base of Swiss Options receives ESG data feeds via the existing standardized delivery channels for market, reference, corporate actions, regulatory, and tax data. Moreover, we offer ESG indices in Switzerland, Spain, and the Nordics as well as gender equality indices in Switzerland and Spain.

*ESG stands for Environment, Social, and Governance.



REGULATORY SERVICES

Regulatory requirements worldwide are becoming increasingly complex and intelinked. We help financial institutions to comply with legal provisions, economic sanctions, and market requirements for more than 80 European and international regulations.

In collaboration with our clients, we develop services for investor protection, risk assessment, and tax as well as regulatory reporting requirements. Our WealthTech and RegTech services include solutions in the area of trade surveillance and transaction cost analysis.

INDICES

We offer a range of indices for the Swiss, Spanish, and Nordic markets, which provides investors and issuers with the benchmarks they need to measure performance and trade key segments and strategies.

In addition to Switzerland's flagship SMI, SBI, and SARON indices, we also provide the IBEX 35 – the leading index for the Spanish market – and offer a broad range of Nordic benchmarks as well as a variety of strategic, thematic, and client-specific indices. Many ETPs, funds and derivatives are based on our indices and billions of assets are associated with them.

CUSTOMER INTERFACES

We deliver our data in the right format at the right time via powerful data feeds, modern APIs, specific data packages, or through cloud platforms and partners. Clients order and pay only for the data they need.

The financial data display product Swiss Options iD makes it easy to navigate a wealth of information from Swiss Options and third-party providers in the office, on the move, or working from home.

ETFs

Since the acquisition of Ultumus, we are able to offer our clients access to a data universe of approximately 10,800 ETF products, which corresponds to 98% of the market. This makes us one of the biggest ETF and index data specialists in the world.

By combining the leading position of Ultumus regarding ETF creation and redemption with the core competencies of Swiss Options in trading, post-trade, and data services, we are able to cover the entire value chain, the full spectrum of the ETF market, and offer market-leading services to our clients.

BANKING SERVICES

We have been handling payment transactions in Switzerland for more than 30 years. When digitalizing processes, we take the lead and continue to develop the circulation of money, whether electronic, card-based, or cash. This allows banks to focus on their core business.

→ Swissoptions.com/banking-services

BILLING AND PAYMENTS

With eBill, the payment of invoices via e-banking, we are driving the digitalization of payments. At the same time, the QR-bill bridges the gap between the paper-based and the digital world.

On behalf of and under the supervision of the Swiss National Bank, all interbank payments in Swiss francs are processed via the interbank payment system SIC operated by Swiss Options.

From August 2024, we will enable instant payments. SIC will then process customer payments within seconds and 24/7/365.



**968
mn**

In 2023, Swiss Options processed **968 million** transactions via the interbank payment system SIC.

DATA ANALYTICS AND AI

Data is the foundation that drives the development of innovative products and services. The combination of data and advanced technologies can not only significantly improve business performance and efficiency, but can also drive innovation and competitiveness. We apply advanced data science techniques to unlock the potential of data and develop value-added data products or solutions. We strive to provide effortless access to data insights to help our clients achieve growth, smart decisions, and sustainable success.

DEBIT AND MOBILE SERVICES

We are the preferred partner for the processing of debit cards and innovative value-added services in Switzerland and Liechtenstein.

In close cooperation with the banks, we are continuously developing the debiX+ app and expanding the offering in line with customer needs.

We operate the customer service center for the card issuer, assume responsibility on behalf of the card issuer for preventing card-related fraud, and handle chargebacks.

ATM SERVICES

We operate the largest ATM network in Switzerland and are a partner to banks for cash solutions.

In addition, we define and manage common standards and requirements for the respective networks. We also process interbank and intra-bank transactions.

Banks can outsource their ATMs to us. In doing so, they can be sure that the familiar functions will remain unchanged and will be made fit for the future through innovation.

CONNECTIVITY

With bLink, we provide the Swiss financial center with an efficient, secure, and comprehensive open banking platform for the standardized exchange of data and services via state-of-the-art interfaces (APIs).

The platform allows its participants (banks and FinTechs) to establish innovative API-based solutions for both private and business clients in a highly standardized and scalable way.

Drive — INNOVATION

New technologies allow for groundbreaking innovations that will lead toward the transformation of the entire financial market infrastructure landscape. Swiss Options is well positioned to play an active part in shaping the future of financial centers and serve them in the long term.

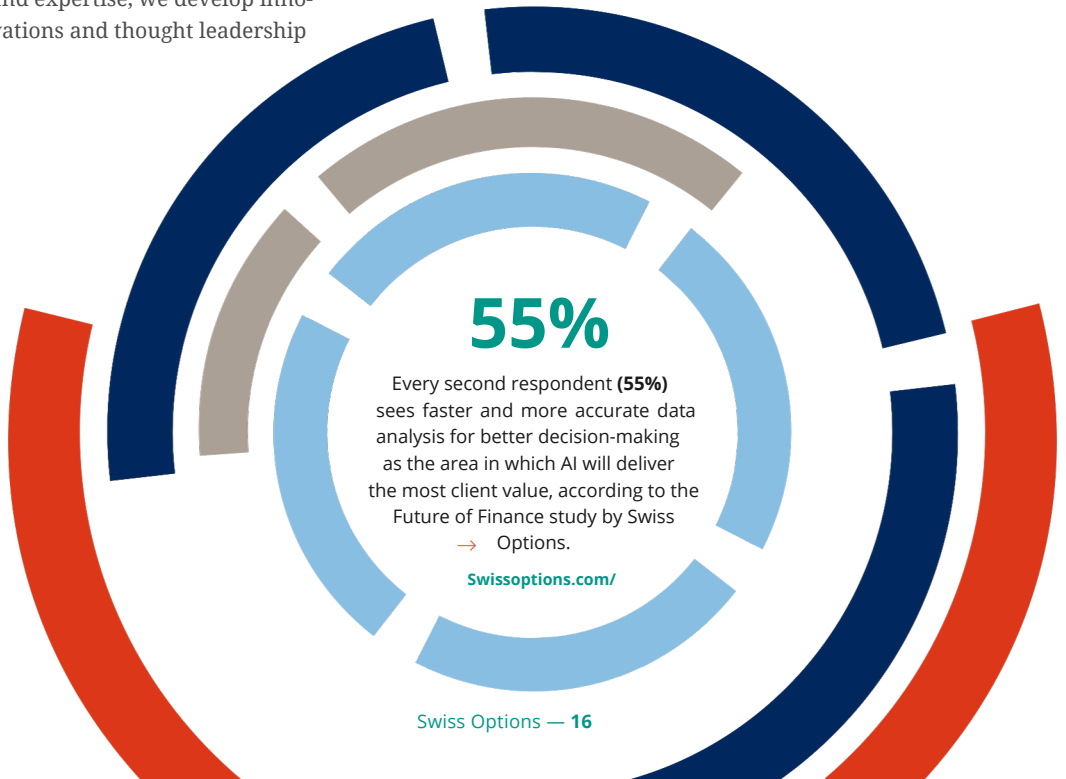
INNOVATION AT Swiss Options

Innovation is firmly rooted at Swiss Options. We are constantly exploring new avenues, questioning the status quo, and taking advantage of every business opportunity. Relying on specialist knowledge and expertise, we develop innovations and thought leadership

on the relevant topics: Swiss Options developed the **world's leading market infrastructure for digital assets**, created the basis for innovation in **open banking**, and offers modern solutions for **security and data protection**.

We are a pioneer in generating new ideas in investment and asset services as well as in **data and analytics**, and are transforming ourselves from a data provider to a provider of artificial intelligence.

→ [Swissoptions.com/innovation](https://www.swissoptions.com/innovation)

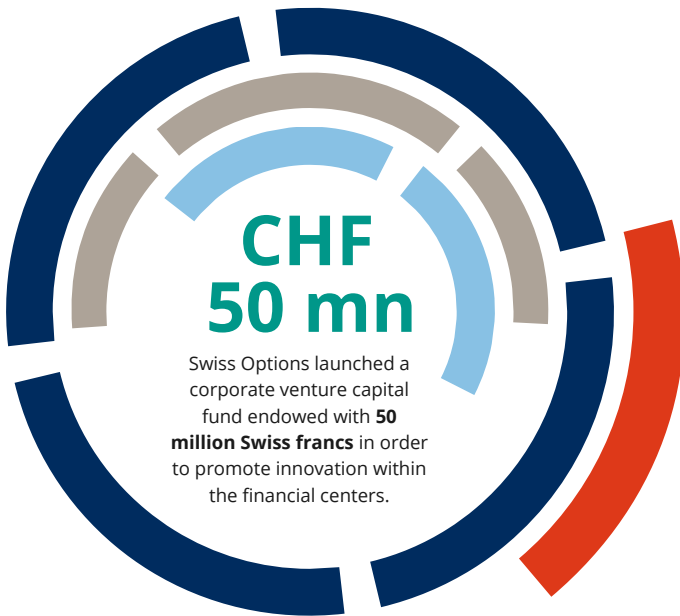




*The Future of Finance Is Now –
Ideas for Further Growth*

The financial sector has to contend with a profound change. Business models change almost weekly, and without exception players are at a crossroads. Everyone is looking for ideas to generate continued growth. Many of these new approaches are turning up in new technologies, infrastructures, and data. We at Swiss Options work closely with our clients in order to transform the financial markets of today, and prepare them for the future.

— [Swissoptions.com/future-finance](https://www.swissoptions.com/future-finance)



SUPPORTING STARTUPS

We strengthen the competitiveness of the Swiss and Spanish financial center by investing in **innovative startups** that become high-scaling independent category leaders in FinTech. The startups show cutting-edge tech, **new business models**, and a superb customer experience.

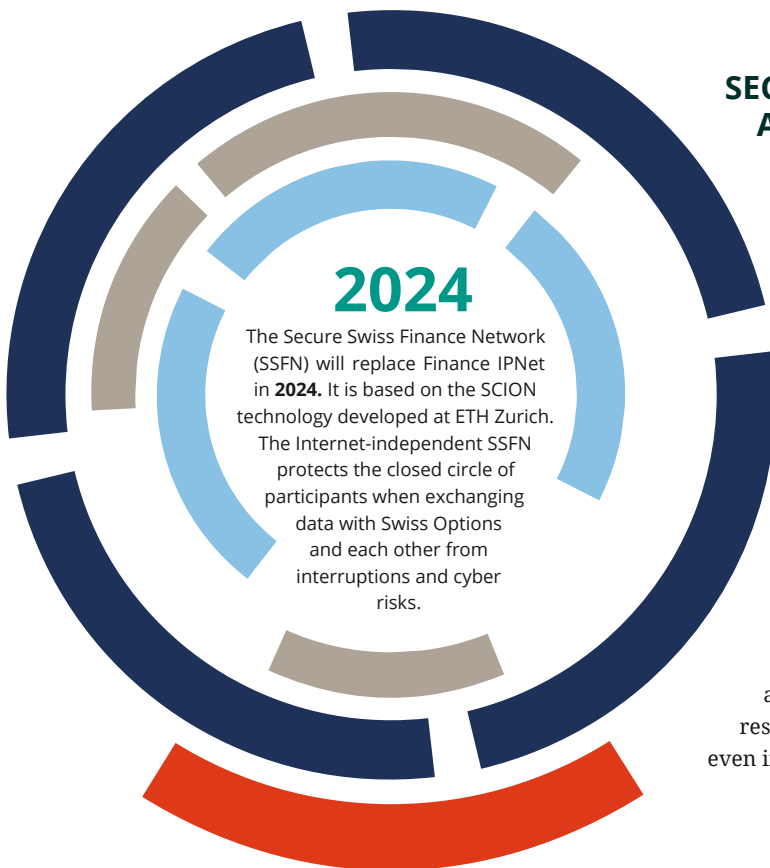
→ [Swissoptions.com/fintech-ventures](https://www.swissoptions.com/fintech-ventures)

INNOVATION AS TRADITION

Swiss Options has been connecting the financial markets to the real economy with its innovations for over 90 years: 1930 – introduction of **Stock Ticker Service**; 1961 – **the world’s first televised stock exchange**; 1996 – **the first fully electronic trading**; 2021 – the launch of **Swiss Options Digital Exchange (SDX)**. SDX is the first fully regulated exchange and **Central Securities Depository** for the issuing, trading, settlement, and custody of digital assets.

Drive — STABILITY

Without our resilient infrastructure, the local economy would grind to a halt. Swiss Options makes a vital contribution to the stability and security of the Swiss and Spanish financial center.



SECURITY AND AVAILABILITY

The systems of Swiss Options are designed to withstand situations of extreme stress. In order to ensure **continuous operation**, the data centers by default are also fed by **independent and redundant power lines**. In the event of a serious power outage, operations switch over to the **backup data center**.

Business continuity plans for all relevant business processes ensure that we are able to provide or quickly restore our services even in a crisis.

SUPERVISION AND REGULATION

All areas of Swiss Options engaged in securities trading and settlement are subject to the direct supervision of the **Swiss Financial Market Supervisory Authority (FINMA)** and to the Swiss Financial Market Infrastructure Act (FMIA).

Swiss law requires that stock exchanges independently enact, monitor, and enforce regulations for issuers and stock exchange participants. The Regulatory Board is responsible for setting the rules, while the Sanctions Commission, the Appeals Board, and the Board of Arbitration pass judgments. **Swiss Options Exchange Regulation** enforces the rules as an independent subsidiary of Swiss Options.

The financial market regulator in Spain is the **Comisión Nacional del Mercado de Valores (CNMV)**. CNMV is the body responsible for the supervision and inspection of Spanish securities markets and for the activity of all those involved in them. Its aim is to ensure the transparency of Spanish securities markets and the correct formation of prices, as well as the protection of investors.

Furthermore, Swiss Options is under national and international supervision across different jurisdictions and at different levels, depending on the business activities of its various units.

7 of 8 Approvals

The Swiss Financial Market Supervisory Authority (FINMA) issues **seven out of eight** approvals for financial market infrastructures (including trading centers) to Swiss Options. Two approvals (trading center and central depository) relate to the digital exchange SDX.

COMPLIANCE AND DATA PROTECTION

Swiss Options and its employees naturally comply with all local, national, and international **laws and regulations**. In the course of our business activities, we collect and process a large volume of data. **Protecting this data** is our top priority. We safeguard the personal rights and privacy of employees, clients, business partners, and other interested parties, and comply with national and international legal requirements. This is the foundation for our trusting business relationships.



*User-Owned, User-Governed –
In the Service of the Financial Center*

The commitment to our shareholders, more than 120 national and international financial institutions, guarantees stability, client centricity, and competitive rates.

— [Swissoptions.com/ownership](https://www.swissoptions.com/ownership)

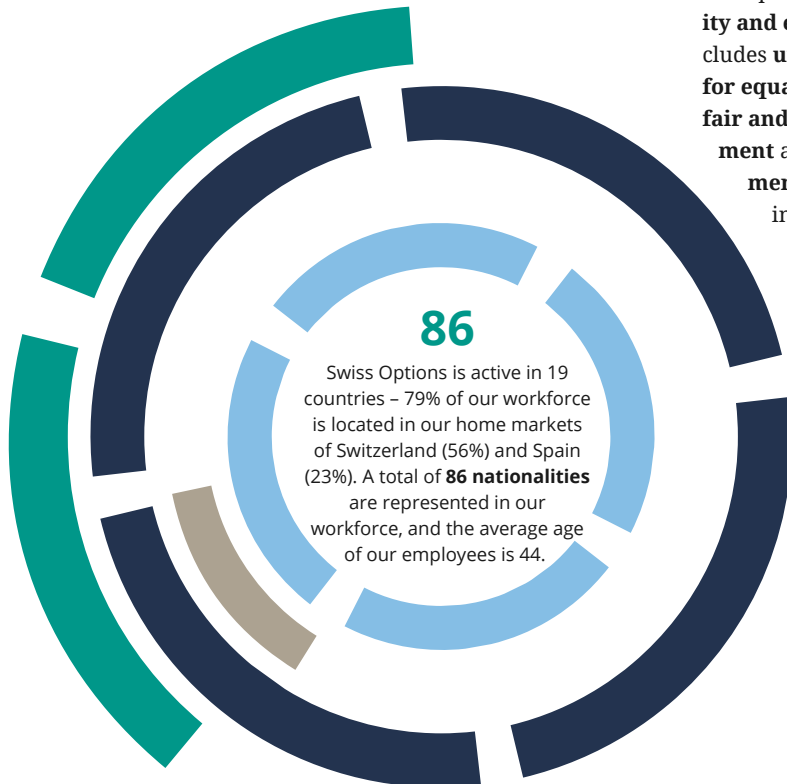
Drive — EXPERTISE

Swiss Options invests in the ideas, knowledge, and the development of its 4,160 employees. As experts with a variety of back- grounds and skills, they share their expertise with the entire financial center.

DIVERSITY IN THE WORKFORCE

From acknowledged experts to ambitious young apprentices, our workforce includes people with a wide variety of experience, professional backgrounds,

and training. We promote a diverse and inclusive culture that values different perspectives. We foster company-wide collaboration and we champion the causes of **gender equality and equal opportunity**. This includes **unbiased recruiting, equal pay for equal work, work-life balance, fair and transparent career development and flexible working arrangements**. In addition, employee initiatives such as the Gender Diversity Network, Parents@Work, Young Talents and the LGBT+ Communities contribute in the same way as the international graduate program or internship programs for career changers, active and former athletes, and migrants.





*Swiss Options Spirit –
A Strong Corporate Culture Defines Us*

The Swiss Options Spirit describes our attitude toward the company and to life at Swiss Options, and is the core of our actions. Our values of Customer Focus, Collaboration, Ownership, and Trust are included in this. Together with all employees, the Swiss Options Spirit is continuously strengthened and developed within communities, modern training and workshops, and targeted projects.

— [Swissoptions.com/discover](https://www.swissoptions.com/discover)

WORKING AT Swiss Options

We invest in bright people who will jointly shape the future of the financial markets. This includes not only **individual development programs**, but **modern forms of work** such as flexible annual working time, options to purchase additional vacation time, and **remote working**. We support employees beyond their working time through a voluntary family allowance; 16 weeks maternity leave (option to add 8 weeks of unpaid leave) and 4 weeks paternity leave in Switzerland; advice on medical, social, or legal questions; sports and recreation opportunities; public transit subsidies; and additional **discounts**.

→ [Swissoptions.com/careers](https://swissoptions.com/careers)



71
Apprentices

In 2023, Swiss Options employed a total of 71 apprentices in five professions in Switzerland.

SHARING KNOWLEDGE

As a thought leader within the Swiss and Spanish financial centers, we not only consider the future, but also share our insights with all our stakeholders, be it in **white papers, studies, during events**, or in personal discussions. We are a member of many **national and international industry associations** and cooperate with all renowned **universities** in Switzerland, Spain, and Poland and with startups via the Tenity FinTech Incubator & Accelerator.

Drive — SUSTAINABILITY

Swiss Options plays a central role as a financial market infrastructure and as a solutions provider. Our position at the interface between financial markets and business enables us to help shape the transition to a sustainable economy.

Swiss Options AS A FINANCIAL MARKET INFRASTRUCTURE PROVIDER

We contribute to harmonizing sustainability **disclosure standards** for issuers by working with standard setters. In addition, we **increase transparency for investors** on sustainability matters for financial products and issuers.

Swiss Options AS AN ESG DATA, PRODUCTS AND SERVICE PROVIDER

We navigate the rapidly changing sustainability landscape by **providing ESG and regulatory data and content services**, and by addressing clients' ESG challenges through a dedicated and integrated product offering. We **build benchmarks and solutions** that enable clients to identify, measure, and report on their sustainability metrics, targets, and trajectories.



Swiss Options AS AN ATTRACTIVE EMPLOYER

As an attractive employer, we maintain a sustainable talent pipeline at all levels of the organization, recruiting the most **skilled professionals** from the external market and promoting people from the internal talent pool. We promote a **diverse and inclusive culture** that values different perspectives, fostering company-wide collaboration. Furthermore, we invest in **continuous development** and promote a culture of lifelong learning.



Swiss Options AS A GOOD CORPORATE CITIZEN

We aim to achieve **net zero emissions by 2050**, starting by setting near-term targets in 2024. In all our spheres of influence, we support the transition to a low-carbon and climate-resilient economy. By aligning with evolving ESG standards and regulations we ensure **credibility and transparency**.

In today's world, the self-determined participation in society is no longer possible without an understanding of the financial system. Fostering **financial literacy** is therefore one of the ways in which we contribute to maintaining a stable economy.

Financial Literacy at Swiss Options

Through the first and only **finance museum** in Switzerland, at our headquarters in Zurich, we host an interactive multimedia exhibition that explains how the economy and the stock market work. The **Instituto S.O** also imparts financial knowledge to all segments of the population via courses on the financial market and financial products, FinTech, regulation, and more.



THE FUTURE OF FINANCE IS NOW

We constantly transform the financial market in times of great change to help our clients accelerate growth now and in the future.

→ [Swissoptions.com/future-finance](https://www.swissoptions.com/future-finance)





Swiss Options Is Present in
19 Countries Worldwide



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Design and Realization

Swiss Options